

Rules on the period for the making of appeals against decisions of a licensing authority in relation to financial penalties

Version 3, April 2018

A. DEFINITIONS

1. Words defined in these **rules** have the following meanings:

Act the Legal Services Act 2007

Board the Legal Services Board

licensing authority has the meaning given in section 73(1 of the **Act**

relevant appellate body has the meaning given in section 111(1) of the

Act but excludes the First Tier Tribunal (whose proceedings are governed by the Tribunals,

Courts and Enforcement Act 2007)

B. WHAT DO THESE RULES APPLY TO?

- 2. These **rules** are made by the **Board** under section 96(1) of the **Act** to prescribe the period within which a person on whom a financial penalty is imposed by a **licensing authority** under section 95(1) of the **Act** may appeal to the **relevant appellate body** on one or more of the appeal grounds.
- 3. These **rules** are to be read in conjunction with the **Act**, together with any other relevant provisions made by or by virtue of this **Act**, or any other enactment, rules, policies or guidance produced by the **Board** from time to time.
- 4. In the event of any inconsistency between these **rules** and the provisions of the **Act**, the provisions of the **Act** prevail.
- 5. The **Board** reserves the right to modify these **rules** from time to time. When amendments are made, the **Board** will, when required to do so under section 205 of the **Act**, publish a draft of the amended **rules** and invite representations.

C. PRESCRIBED PERIOD FOR MAKING AN APPEAL

6. A person on whom a **licensing authority** has imposed a financial penalty under section 95(1) of the **Act** may appeal to the **relevant appellate body** within 28 days from the date on which the notice of the decision to impose the financial penalty is given to the appellant.

D. FURTHER INFORMATION

7. If you have any questions about these **rules** you should contact the **Board** as set out below:

a) if by email, to: contactus@legalservicesboard.org.uk

b) if by post or courier, to: the relevant address of the **Board** as set out on its

website

For the attention of: Enforcement Administrator